

BCP

Invest with confidence



**POLITICALLY EXPOSED PERSON
(PEP) OR RELATIVE OR CLOSE
ASSOCIATE (RCA) OF A PEP AND
OTHER PERSONS OF INFLUENCE**

Supplementary Form

A INVESTOR DETAILS

We are obliged under AML legislation to identify PEPs, RCAs and other Persons of Influence.

APPLICANT A:

APPLICANT B:

B PEP\RCA STATUS

An explanation of PEP and RCA is detailed overleaf

Are you or any of the Beneficiaries, Trustees, Settlers, Appointers or in the case of a Company Owner, Director, Beneficial Owner (or have been within the last 12 months), a PEP or Relative or Close Associate of a PEP?

Yes No

I/We am/are a PEP:

Yes No

If you have answered **Yes**, please provide the details of the prominent public function you perform:

I/We am/are a Relative or Close Associate of a PEP:

Yes No

If you have answered **Yes**, please complete below:

Relationship to PEP:

Name of PEP:

Prominent public function performed by the PEP:

C OTHER PERSONS OF INFLUENCE

Do you or any of the Beneficiaries, Trustees, Settlers, Appointers or in the case of a Company Owner, Director, Beneficial Owner **hold a prominent position or enjoy a high public profile?**

Yes No

If you have answered **Yes** please confirm:

Name of the person who holds the prominent position

Please confirm the prominent position held:

A Senior local or regional public official with the ability to influence the awarding of public contracts

Yes No

A decision making member of a high profile sporting body

Yes No

An individual that is known to influence the government and other senior decision makers

Yes No

Another prominent position *(please specify)*

Are you or any of the Beneficiaries, Trustees, Settlers, Appointers or in the case of a Company Owner, Director, Beneficial Owner **involved in political lobbying?**

Yes No

If you have answered **Yes** please confirm

Name of the person involved in political lobbying

Name of the relevant political lobbying entity

D

ROLE DETAILS

Role Options : Beneficiary, Trustee, Settlor, Appointer, Company Owner, Director, Beneficial Owner

For each person for whom you have answered yes to the above question, please complete the following:

ROLE	FIRST NAME	LAST NAME	ADDRESS

Please sign and date.

SIGNATURE A:

DATE:

SIGNATURE B:

DATE:

For and on behalf of applicant

INFORMATION NOTE

Who is a Politically Exposed Person (PEP)?

A "politically exposed person" means any individual, who currently is, or has at any time, been entrusted with a prominent public function and performs any of the following roles:

- (A) A head of state, head of government, government minister or deputy or assistant government minister
- (B) A member of a parliament/member of a legislature or equivalent/member of the governing body of a political party
- (C) A member of a Supreme Court, Constitutional Court or any other high level judicial body which passes non-appealable verdicts (except in exceptional circumstances)
- (D) A member of a court of auditors or the board of a central bank
- (E) An ambassador, charge d'affairs or high ranking officer in the armed forces
- (F) A member of the administrative, management or supervisory body of a state owned enterprise
- (G) A director, deputy director or member of the board of, or person performing the equivalent function in relation to, an international organisation

Who is a Relative?

- (A) Any spouse of the Politically Exposed Person
- (B) Any person who is considered to be a common law spouse of the politically exposed person under law
- (C) A child of the politically exposed person
- (D) Any spouse of the child of a politically exposed person
- (E) Any parent of the politically exposed person
- (F) Any other family member of the politically exposed person who is of a prescribed class set out by the Department of Finance

Who is a Close Associate?

- (A) Any individual who has a joint beneficial ownership of a legal entity or legal arrangement, or any other close business relations with the politically exposed person
- (B) Any individual who has a sole beneficial ownership of a legal entity or legal arrangement set up for the actual benefit of the politically exposed person

